

Hong Kong Stock Charges

1. General Trade

Effective from July 2026

Services		Charges (HKD / CNY)		Min Charges	Max Charges
a)	Brokerage Commission	Order by phone	0.25% on gross consideration	\$100	N/A
		Online trade	0.20% on gross consideration	\$50	N/A
b)	SFC Transaction Levy	0.0027% on gross consideration			
c)	HKEX Trading fee	0.00565% on gross consideration			
d)	AFRC Transaction Levy	0.00015% on gross consideration			
e)	HK Stamp Duty	0.10% on gross consideration (rounded to the nearest dollar)		\$1	N/A
f)	CCASS Fee	0.008% on gross consideration		\$5	N/A
g)	Grey Market	0.30% on gross consideration		\$150	N/A
h)	Force Sell	1% on gross consideration		\$500	N/A
i)	Compulsory share buy-back fee	0.50 % on market value of previous closing price at the due date for the corresponding short position + related CCASS charges		\$500	N/A

2. Nominee Services and Corporate Actions

Services		Charges (HKD / CNY)		Min Charges	Max Charges
a)	Scrip Fee	\$2.50 per board lot			
b)	Collection Fee of Cash/Scrip Dividend (for Stocks/ Fund/ Bond)	0.50% on dividend received		\$30 / USD 5	N/A
c)	Collection Fee of Bonus Issue	\$30 per case			
d)	Rights Issue Entitlement charge	\$30 per case			
e)	Fee for Rights Subscription / Warrant Exercise	\$100 per case + Corporate action fee \$0.8 per board lot			
f)	Fee for Excess Rights Application	\$1 per board lot			
g)	Fee for Tendering Shares under a Takeover bid	\$100 per case + \$1 per board lot + HK Stamp Duty 0.13% on gross consideration (rounded to the nearest dollar) + related CCASS charges			
h)	Fee for Handling Share Consolidation / Split	Free			
i)	Fee for Auto-exercise / Privatization	\$100 per case + \$1 per board lot + Corporate action fee \$0.8 per board lot + related CCASS charges			
j)	Fee for Claiming Unclaimed Benefit Entitlements	\$500 per distribution + related charges			
k)	General Meeting Enrollment	\$50 per person per stock			
l)	Voting or Annual report Application	\$50 per instruction per stock			

3. Scrip Handling and Settlement-related Services

Services		Charges (HKD / CNY)	Min Charges	Max Charges
a)	Physical scrip deposit fee:			
	- Under name of HKSCC Nominee Ltd	Free		
	- Under name of client	\$5 per Transfer Deed		
b)	Physical scrip withdrawal fee	\$10 per board lot + Handling Fee \$100 for each stock		
c)	Deposit transaction charge	Free		
d)	Settlement Instruction fee (SI):			
	- Stock Deposit	Free	N/A	N/A
	- Stock Withdrawal	0.25% on market value of previous closing price	\$300 per share	N/A
e)	Investor Settlement Instruction fee (ISI):			
	- Stock Deposit	Free	N/A	N/A
	- Stock Withdrawal	0.25% on market value of previous closing price	\$100 per share	N/A

4. Financing and Securities Subscription (IPO)

Services		Charges (HKD / CNY)
a)	Margin account financing charge	negotiable
b)	Cash account overdue interest	14.75% (negotiable)
c)	Credit balance interest	0.10% \$ p.a.
d)	Handling Charge for IPO Cash Application	Free
e)	Handling Charge for IPO Financing Application	\$100
f)	Financing Interest for IPO Application	Subject to the current market interest rate

5. Capital Investment Entrant Scheme

Services		Charges
a)	Account Maintenance and Report Handling Fee	HK\$6,000 per year

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Global Securities Charges

US Shares

1. General Charges

Services		Charges		Min Charges	Max Charges
a)	Brokerage Commission	Order by phone	0.25% on gross consideration (Trade Amalgamation is not applied)	USD 25 or equivalent	N/A
		Online trade	0.08% on gross consideration (Trade Amalgamation is not applied)	USD 8 or equivalent	N/A
b)	Sec Fee	0.00278% on gross consideration (For SELL order only)		USD 0.01	N/A
c)	Transaction Activity Fee	USD 0.000145 per share (For SELL order only)		N/A	N/A
d)	Clearing Fee	USD0.003 per share		USD 0.50	N/A
e)	ADR Custody Fee	Collection for payment			
f)	Custody Fee	Free			

2. Nominee Services and Corporate Actions

Services		Charges		Min Charges	Max Charges
a)	Cash Dividend Collection	2% on dividend received (after deduction of withholding tax)		USD 9 or equivalent	N/A
b)	Bonus Shares/ Units Collection	USD 30 per stock or equivalent			
c)	Other Corporate Action*	USD 30 per stock or equivalent			
d)	Dividend Tax	0-30%			

UK Shares

1. General Charges

Services		Charges		Min Charges	Max Charges
a)	Brokerage Commission	Order by phone	0.40% on gross consideration (Trade Amalgamation is not applied)	GBP46 or equivalent	N/A
		Online trade	0.20% on gross consideration (Trade Amalgamation is not applied)	GBP25 or equivalent	N/A
b)	Stamp Duty	0.5% of Transaction Amount (For BUY trade only)			
c)	Other Transaction Fees (if applicable)	PTM Levy : Flat rate GBP 1 (applicable for some U.K. stock with transaction amount more than GBP 10,000) + related charges (if any)			
d)	Custody Fee	Free			

2. Nominee Services and Corporate Actions

Services		Charges	Min Charges	Max Charges
a)	Cash Dividend Collection	1% on dividend received (after deduction of withholding tax)	GBP 13 or equivalent	N/A
b)	Bonus Shares/ Units Collection	GBP 20 per stock or equivalent		
c)	Other Corporate Action*	GBP 20 per stock or equivalent		
d)	Dividend Tax	10%		

Germany Shares

1. General Charges

Services		Charges		Min Charges	Max Charges
a)	Brokerage Commission	Order by phone	0.40% on gross consideration (Trade Amalgamation is not applied)	EUR32 or equivalent	N/A
		Online trade	0.25% on gross consideration (Trade Amalgamation is not applied)	EUR23 or equivalent	N/A
b)	Other Transaction Fees (if applicable)	Different based on the policy per exchange in Germany			
c)	Custody Fee	Collection for payment (German stock portfolio value x 0.05% / 12, Minimum Fees EUR 1, payable in next calendar month)			

2. Nominee Services and Corporate Actions

Services		Charges	Min Charges	Max Charges
a)	Cash Dividend Collection	EUR 8 per stock or equivalent	N/A	N/A
b)	Bonus Shares/ Units Collection	EUR 32 per stock or equivalent		
c)	Other Corporate Action*	EUR 32 per stock or equivalent		
d)	Dividend Tax	10%		

Australia Shares

1. General Charges

Services		Charges		Min Charges	Max Charges
a)	Brokerage Commission	Order by phone	0.25% on gross consideration (Trade Amalgamation is not applied)	AUD39 or equivalent	N/A
		Online trade	0.18% on gross consideration (Trade Amalgamation is not applied)	AUD20 or equivalent	N/A
b)	Custody Fee	Collection for payment (Australia stock portfolio value x 0.05% / 12, Minimum Fees AUD 1, payable in next calendar month)			

2. Nominee Services and Corporate Actions

Services		Charges	Min Charges	Max Charges
a)	Cash Dividend Collection	AUD 11 per stock or equivalent	N/A	N/A
b)	Bonus Shares/ Units Collection	AUD 20 per stock or equivalent		
c)	Other Corporate Action*	AUD 20 per stock or equivalent		
d)	Dividend Tax	30-46.50%		

Japan Shares

1. General Charges

Services		Charges		Min Charges	Max Charges
a)	Brokerage Commission	Order by phone	0.40% on gross consideration (Trade Amalgamation is not applied)	JPY3500 or equivalent	N/A
		Online trade	0.20% on gross consideration (Trade Amalgamation is not applied)	JPY2000 or equivalent	N/A
b)	Overseas Platform Fee	JPY 0.3 per share (Regardless any transaction. Whenever the amount calculated includes a fraction of JPY1, round-up the fraction to the nearest JPY1)			
c)	Custody Fee	Free			

2. Nominee Services and Corporate Actions

Services		Charges	Min Charges	Max Charges
a)	Cash Dividend Collection	1% on dividend received (after deduction of withholding tax)	JPY 1600	N/A
b)	Bonus Shares/ Units Collection	JPY 2000 per stock or equivalent		
c)	Other Corporate Action*	JPY 2000 per stock or equivalent		
d)	Dividend Tax	15.315%		

Korea Shares

1. General Charges

Services		Charges		Min Charges	Max Charges
a)	Brokerage Commission	Order by phone	0.40% on gross consideration (Trade Amalgamation is not applied)	KRW30,000 or equivalent	N/A
		Online trade	0.25% on gross consideration (Trade Amalgamation is not applied)	KRW20,000 or equivalent	N/A
b)	Sales Tax	0.20% on gross consideration			
c)	Custody Fee	Free			

2. Nominee Services and Corporate Actions

Services		Charges	Min Charges	Max Charges
a)	Cash Dividend Collection	KRW 9,000 per stock or equivalent	N/A	N/A
b)	Bonus Shares/ Units Collection	KRW 18,000 per stock or equivalent		
c)	Other Corporate Action*	KRW 18,000 per stock or equivalent		
d)	Dividend Tax	15%		

Singapore Shares

1. General Charges

Services		Charges	Min Charges	Max Charges
a)	Brokerage Commission	Order by phone 0.25% on gross consideration (Trade Amalgamation is not applied)	SGD40 / USD30 or equivalent	N/A
		Online trade 0.16% on gross consideration (Trade Amalgamation is not applied)	SGD22 / USD19 or equivalent	N/A
b)	Clearing Fee	0.0325% on gross consideration		
c)	Trading Fee	0.0075% on gross consideration		
d)	On-Exchange Transactions – Custodian Settlement Fee	SGD 0.35 / USD0.26 per Settlement Instruction		
e)	Custody Fee	Free		

2. Nominee Services and Corporate Actions

Services		Charges	Min Charges	Max Charges
a)	Cash Dividend Collection	2% on dividend received (after deduction of withholding tax)	SGD14 / USD11 or equivalent	N/A
b)	Bonus Shares/ Units Collection	SGD30 / USD22 per stock or equivalent		
c)	Other Corporate Action*	SGD30 / USD22 per stock or equivalent		
d)	Dividend Tax	0-15%		

Taiwan Shares

1. General Charges

Services		Charges	Min Charges	Max Charges
a)	Brokerage Commission	Order by phone 0.25% on gross consideration (Trade Amalgamation is not applied)	TWD800	N/A
		Online trade 0.20% on gross consideration (Trade Amalgamation is not applied)	TWD500	N/A

b)	Sales Tax (Only limit to sell orders)	0.30% of Transaction amount for ordinary shares 0.10% of Transaction amount for Exchange Traded Fund listing at Taiwan Exchange (ETF) 0.10% of Transaction amount for Taiwan Depositary Receipts (TDR)
c)	Trading Fee (Custodian Bank)	TWD250 (To be charged per side per stock code per settlement currency per order channel each trading day)
d)	Custody Fee	Collection for payment (Portfolio amount x 0.12% / 12 , Min charges HKD55per month, payable in next calendar month)

2. Nominee Services and Corporate Actions

Services		Charges	Min Charges	Max Charges
a)	Cash Dividend Collection	TWD300 per stock or equivalent	N/A	N/A
b)	Bonus Shares/ Units Collection	TWD600 per stock or equivalent		
c)	Other Corporate Action*	TWD600 per stock or equivalent		
d)	Dividend Tax	21%		

Thailand Shares

1. General Charges

Services		Charges		Min Charges	Max Charges
a)	Brokerage Commission	Order by phone	0.35% on gross consideration (Trade Amalgamation is not applied)	THB800	N/A
		Online trade	0.30% on gross consideration (Trade Amalgamation is not applied)	THB500	N/A
b)	VAT Fee & Other Transaction Fees	7.0% of Brokerage amount as above			
c)	Custody Fee	Free			

2. Nominee Services and Corporate Actions

Services		Charges	Min Charges	Max Charges
a)	Cash Dividend Collection	THB300 per stock or equivalent	N/A	N/A
b)	Bonus Shares/ Units Collection	THB660 per stock or equivalent		
c)	Other Corporate Action*	THB660 per stock or equivalent		
d)	Dividend Tax	10%		

Important Notes:

- 1) Dividend Tax: Subject to change by relevant country tax policy
- 2) Charges apply on the amount after dividend tax
- 3) For overseas dividends, there will be a processing time of not less than 14 days.
- 4) * Plus fees collected by other involved broker(s) where applicable.

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Funds, Bonds , Certificate of Deposit and Structure Products Charges

Funds

General charges

Services		Charges (USD/ HKD/ CNY)	Min Charges	Max Charges
a)	Subscription Fee	Disclosed in the Fund Fact Sheet, Key Fact Statement, Prospectus / Explanatory Memorandum of corresponding fund(s)	N/A	2%
b)	Redemption Fee		N/A - Individual Fund Houses may impose redemption fee, calculated based on the year of subscription (Min. HKD 250 for each fund, if payment made via USD Telegraph Transfer)	N/A
c)	Management Fee		Individual Fund Houses may impose management fee	N/A
d)	Withdrawal	HKD500 per transaction		

Bonds

General charges

Services		Charges (USD/ HKD/ CNY)	Min Charges	Max Charges
a)	Transaction Fee	Face value	N/A	200 basis point
b)	Safe Custody	0.10% p.a. Custodian Rate (The Custody Fee shall be calculated by the Notional value times the custodian rate on a daily basis and be collected monthly)		
c)	Bonds transfer in	HKD400 per transaction		
d)	Bonds transfer out	HKD500 per transaction		
e)	Coupon Interest handling charges	0.50% of coupon amount + related custodian charges	USD 10 or equivalent	N/A
f)	Redemption / Early redemption	USD 30 per transaction or equivalent		

Certificate of Deposit

General charges

Services		Charges (USD/ HKD/ CNY)	Min Charges	Max Charges
a)	Subscription Fee	Face value	N/A	50 basis point
b)	Safe Custody	0.10% p.a. Custodian Rate (The Custody Fee shall be calculated by the Notional value times the custodian rate on a daily basis and be collected monthly)		

Structure Products

General charges

Services		Charges (USD/ HKD/ CNY)	Min Charges	Max Charges
a)	Subscription Fee	Notional Amount	N/A	3%
b)	Safe Custody	0.06% p.a. rate based on the Month-End structured products portfolio value (charge on monthly basis)	HKD100 / CNY100 / USD10	N/A
c)	Settlement Instruction Fee (SI)	USD 100 per transaction	USD 100	N/A
d)	Equity Linked Investments (ELI)	Collection for Cash Coupons or Interest	0.5% / HKD 150	N/A

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Stock option charges

1. Account Services

Services		Charges (HKD)	Min Charges	Max Charges
a)	Brokerage Commission	1.00 % of Contract Value (Trade Amalgamation is not applied)	HKD 100	N/A
b)	Trading Fee	Tier 1: HKD 3 per contract Tier 2: HKD 1 per contract Tier 3: HKD 0.50 per contract		

2. Stock Option Exercise Fee

Services		Charges (HKD)	Min Charges	Max Charges
a)	Brokerage Commission	0.25% on gross consideration	HKD 100	N/A
b)	SFC Transaction Levy	0.0027% on gross consideration		
c)	HKEX Trading fee	0.005% on gross consideration		
d)	HK Stamp Duty	0.13% on gross consideration (rounded to the nearest dollar)	HKD 1	N/A
e)	CCASS Fee	0.005% on gross consideration	HKD 5	HKD 1,000
f)	Exercise Fee	HKD 2 per contract		

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Hong Kong and Global Futures, Options Charges

Type	Product Name	Currency	Trading Fee	SFC Transaction Levy	Commission				Remark
					Phone Order		Internet		
					Day	Night /Auto Settle	Day	Night	
Index futures (HKEX)	Hang Seng Index Futures (HSI)	HKD	10	0.54	60	100	40	60	Per contract per side
	Mini Hang Seng Index Futures (MHI)	HKD	3.50	0.10	30	50	20	30	
	H-shares Index Futures (HHI)	HKD	3.50	0.54	60	100	40	60	
	Mini H-shares Index Futures (MCH)	HKD	2	0.10	40	80	20	40	
	USD/ CNH Future (CUS)	CNY	8	-	60	100	40	60	
	HSI Volatility Index (VHSI)	HKD	10	0.54	60	100	40	60	
	Hang Seng TECH Index Futures (HTI)	HKD	5	0.54	60	100	40	60	
Stock Future (HKEX)	Tier 1	HKD	3	0.10	30	50	30	50	
	Tier 2	HKD	1	0.10	30	50	30	50	
	Tier 3	HKD	0.50	0.10	30	50	30	50	
Global Future	Foreign Future Products	USD	-	-	25	25	17	17	
Type	Product Name	Currency	Trading Fee	SFC Transaction Levy	Phone Order / Auto Settle		Internet		Remark
					Min	Max	Min	Max	
Index options (HKEX)	Hang Seng Index Options	HKD	10	0.54	50	100	40	60	1% of contract value
	Mini Hang Seng Index Options	HKD	2	0.10	30	50	20	30	
	H-shares Index Options	HKD	3.50	0.54	50	100	40	60	
	Mini H-shares Index Options	HKD	1	0.54	30	50	20	30	
	Hang Seng TECH Index Options	HKD	5	0.54	50	100	40	60	
Global Future Option	Foreign Option Products	USD	-	-	25	25	17	17	Per contract per side

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Other Services

1. Account Services

Services		Charges (HKD)
a)	Stock balance or account confirmation fee	HKD 200 per confirmation
b)	Stock segregated account service fee (with CCASS statement)	HKD 30 per month
c)	Statement	By Post: HKD 300 per year (Will be charged on every year end, less than a year is counted as one) By Email: Free
d)	Copies of Account Statement	Within 3 months – Waived For past 3 to 12 months - HKD50 per statement For past 1 to 3 years - HKD100 per statement For past 3 to 7 years - HKD500 per statement
e)	Banking service charges: - CHATS - Telegraphic Transfer - Returned cheque - Stop payment	HKD150 + correspondent bank's charges HKD200 + correspondent bank's charges HKD200 per instruction HKD200 per instruction
f)	ETNET Real-time Streaming HK Stock Quotes	HK\$370 (per account per month)

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