

Hong Kong Stock Charges

1. General Trade

Effective from 30 Jun 2025

| Services | | Charges (HKD / CNY) | | Min Charges | Max Charges |
|----------|-------------------------------|---|------------------------------|-------------|-------------|
| a) | Brokerage Commission | Order by phone | 0.25% on gross consideration | \$100 | N/A |
| | | Online trade | 0.20% on gross consideration | \$50 | N/A |
| b) | SFC Transaction Levy | 0.0027% on gross consideration | | | |
| c) | HKEX Trading fee | 0.00565% on gross consideration | | | |
| d) | AFRC Transaction Levy | 0.00015% on gross consideration | | | |
| e) | HK Stamp Duty | 0.10% on gross consideration (rounded to the nearest dollar) | | \$1 | N/A |
| f) | CCASS Fee | 0.008% on gross consideration | | \$5 | N/A |
| g) | Grey Market | 0.30% on gross consideration | | \$150 | N/A |
| h) | Force Sell | 1% on gross consideration | | \$500 | N/A |
| i) | Compulsory share buy-back fee | 0.50 % on market value of previous closing price at the due date for the corresponding short position + related CCASS charges | | \$500 | N/A |

2. Nominee Services and Corporate Actions

| Services | | Charges (HKD / CNY) | | Min Charges | Max Charges |
|----------|--|---|--|--------------|------------------------|
| a) | Scrip Fee | \$2.50 per board lot | | | |
| b) | Collection Fee of Cash/Scrip Dividend (for Stocks/ Fund/ Bond) | 0.50% on dividend received | | \$30 / USD 5 | \$10,000 or equivalent |
| c) | Collection Fee of Bonus Issue | \$30 per case | | | |
| d) | Rights Issue Entitlement charge | \$30 per case | | | |
| e) | Fee for Rights Subscription / Warrant Exercise | \$100 per case + Corporate action fee \$0.8 per board lot | | | |
| f) | Fee for Excess Rights Application | \$1 per board lot | | | |
| g) | Fee for Tendering Shares under a Takeover bid | \$100 per case + \$1 per board lot + HK Stamp Duty 0.13% on gross consideration (rounded to the nearest dollar) + related CCASS charges | | | |
| h) | Fee for Handling Share Consolidation / Split | Free | | | |
| i) | Fee for Auto-exercise / Privatization | \$100 per case + \$1 per board lot + Corporate action fee \$0.8 per board lot + related CCASS charges | | | |
| j) | Fee for Claiming Unclaimed Benefit Entitlements | \$500 per distribution + related charges | | | |
| k) | General Meeting Enrollment | \$50 per person per stock | | | |
| l) | Voting or Annual report Application | \$50 per instruction per stock | | | |

3. Scrip Handling and Settlement-related Services

| Services | | Charges (HKD / CNY) | Min Charges | Max Charges |
|----------|--|--|-----------------|-------------|
| a) | Physical scrip deposit fee: | | | |
| | - Under name of HKSCC Nominee Ltd | Free | | |
| | - Under name of client | \$5 per Transfer Deed | | |
| b) | Physical scrip withdrawal fee | \$10 per board lot + Handling Fee \$100 for each stock | | |
| c) | Deposit transaction charge | Free | | |
| d) | Settlement Instruction fee (SI): | | | |
| | - Stock Deposit | Free | N/A | N/A |
| | - Stock Withdrawal | 0.25% on market value of previous closing price | \$300 per share | N/A |
| e) | Investor Settlement Instruction fee (ISI): | | | |
| | - Stock Deposit | Free | N/A | N/A |
| | - Stock Withdrawal | 0.25% on market value of previous closing price | \$100 per share | N/A |

4. Financing and Securities Subscription (IPO)

| Services | | Charges (HKD / CNY) |
|----------|---|---|
| a) | Margin account financing charge | HKD Prime rate + 2% p.a. (negotiable) |
| b) | Cash account overdue interest | HKD Prime rate + 8% p.a. |
| c) | Credit balance interest | 0.10% \$ p.a. |
| d) | Handling Charge for IPO Cash Application | Free |
| d) | Handling Charge for IPO Financing Application | \$100 |
| e) | Financing Interest for IPO Application | Subject to the current market interest rate |

5. Capital Investment Entrant Scheme

| Services | | Charges |
|----------|---|--------------------|
| a) | Account Maintenance and Report Handling Fee | HK\$6,000 per year |

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Global Securities Charges

US Shares

1. General Charges

| Services | | Charges | Min Charges | Max Charges | |
|----------|--------------------------|---|--|----------------------|-----|
| a) | Brokerage Commission | Order by phone | 0.25% on gross consideration (Trade Amalgamation is not applied) | USD 25 or equivalent | N/A |
| | | Online trade | 0.08% on gross consideration (Trade Amalgamation is not applied) | USD 8 or equivalent | N/A |
| b) | Sec Fee | 0.00278% on gross consideration (For SELL order only) | | USD 0.01 | N/A |
| c) | Transaction Activity Fee | USD 0.000145 per share (For SELL order only) | | N/A | N/A |
| d) | Clearing Fee | USD0.003 per share | | USD0.50 | N/A |
| e) | ADR Custody Fee | Collection for payment | | | |
| f) | Custody Fee | Free | | | |

2. Nominee Services and Corporate Actions

| Services | | Charges | Min Charges | Max Charges |
|----------|--------------------------------|--|---------------------|-------------|
| a) | Cash Dividend Collection | 2% on dividend received (after deduction of withholding tax) | USD 9 or equivalent | N/A |
| b) | Bonus Shares/ Units Collection | USD 30 per stock or equivalent | | |
| c) | Other Corporate Action* | USD 30 per stock or equivalent | | |
| d) | Dividend Tax | 0-30% | | |

UK Shares

1. General Charges

| Services | | Charges | Min Charges | Max Charges | |
|----------|--|---|--|---------------------|-----|
| a) | Brokerage Commission | Order by phone | 0.40% on gross consideration (Trade Amalgamation is not applied) | GBP46 or equivalent | N/A |
| | | Online trade | 0.20% on gross consideration (Trade Amalgamation is not applied) | GBP25 or equivalent | N/A |
| b) | Stamp Duty | 0.5% of Transaction Amount (For BUY trade only) | | | |
| c) | Other Transaction Fees (if applicable) | PTM Levy : Flat rate GBP 1 (applicable for some U.K. stock with transaction amount more than GBP 10,000) + related charges (if any) | | | |
| d) | Custody Fee | Free | | | |

2. Nominee Services and Corporate Actions

| Services | | Charges | Min Charges | Max Charges |
|----------|--------------------------------|--|----------------------|-------------|
| a) | Cash Dividend Collection | 1% on dividend received (after deduction of withholding tax) | GBP 13 or equivalent | N/A |
| b) | Bonus Shares/ Units Collection | GBP 20 per stock or equivalent | | |
| c) | Other Corporate Action* | GBP 20 per stock or equivalent | | |
| d) | Dividend Tax | 10% | | |

Germany Shares

1. General Charges

| Services | | Charges | Min Charges | Max Charges | |
|----------|--|---|--|---------------------|-----|
| a) | Brokerage Commission | Order by phone | 0.40% on gross consideration (Trade Amalgamation is not applied) | EUR32 or equivalent | N/A |
| | | Online trade | 0.25% on gross consideration (Trade Amalgamation is not applied) | EUR23 or equivalent | N/A |
| b) | Other Transaction Fees (if applicable) | Different based on the policy per exchange in Germany | | | |
| c) | Custody Fee | Collection for payment (German stock portfolio value x 0.05% / 12, Minimum Fees EUR 1, payable in next calendar month) | | | |

2. Nominee Services and Corporate Actions

| Services | | Charges | Min Charges | Max Charges |
|----------|--------------------------------|--------------------------------|-------------|-------------|
| a) | Cash Dividend Collection | EUR 8 per stock or equivalent | N/A | N/A |
| b) | Bonus Shares/ Units Collection | EUR 32 per stock or equivalent | | |
| c) | Other Corporate Action* | EUR 32 per stock or equivalent | | |
| d) | Dividend Tax | 10% | | |

Australia Shares

1. General Charges

| Services | | Charges | Min Charges | Max Charges | |
|----------|----------------------|--|--|---------------------|-----|
| a) | Brokerage Commission | Order by phone | 0.25% on gross consideration (Trade Amalgamation is not applied) | AUD39 or equivalent | N/A |
| | | Online trade | 0.18% on gross consideration (Trade Amalgamation is not applied) | AUD20 or equivalent | N/A |
| b) | Custody Fee | Collection for payment (Australia stock portfolio value x 0.05% / 12, Minimum Fees AUD 1, payable in next calendar month) | | | |

2. Nominee Services and Corporate Actions

| Services | | Charges | Min Charges | Max Charges |
|----------|--------------------------------|--------------------------------|-------------|-------------|
| a) | Cash Dividend Collection | AUD 11 per stock or equivalent | N/A | N/A |
| b) | Bonus Shares/ Units Collection | AUD 20 per stock or equivalent | | |
| c) | Other Corporate Action* | AUD 20 per stock or equivalent | | |
| d) | Dividend Tax | 30-46.50% | | |

Japan Shares

1. General Charges

| Services | | Charges | Min Charges | Max Charges | |
|----------|-----------------------|---|--|-----------------------|-----|
| a) | Brokerage Commission | Order by phone | 0.40% on gross consideration (Trade Amalgamation is not applied) | JPY3500 or equivalent | N/A |
| | | Online trade | 0.20% on gross consideration (Trade Amalgamation is not applied) | JPY2000 or equivalent | N/A |
| b) | Overseas Platform Fee | JPY 0.3 per share (Regardless any transaction. Whenever the amount calculated includes a fraction of JPY1, round-up the fraction to the nearest JPY1) | | | |
| c) | Custody Fee | Free | | | |

2. Nominee Services and Corporate Actions

| Services | | Charges | Min Charges | Max Charges |
|----------|--------------------------------|--|-------------|-------------|
| a) | Cash Dividend Collection | 1% on dividend received (after deduction of withholding tax) | JPY 1600 | N/A |
| b) | Bonus Shares/ Units Collection | JPY 2000 per stock or equivalent | | |
| c) | Other Corporate Action* | JPY 2000 per stock or equivalent | | |
| d) | Dividend Tax | 15.315% | | |

Korea Shares

1. General Charges

| Services | | Charges | Min Charges | Max Charges | |
|----------|----------------------|------------------------------|--|-------------------------|-----|
| a) | Brokerage Commission | Order by phone | 0.40% on gross consideration (Trade Amalgamation is not applied) | KRW30,000 or equivalent | N/A |
| | | Online trade | 0.20% on gross consideration (Trade Amalgamation is not applied) | KRW20,000 or equivalent | N/A |
| b) | Sales Tax | 0.18% on gross consideration | | | |
| c) | Custody Fee | Free | | | |

2. Nominee Services and Corporate Actions

| Services | | Charges | Min Charges | Max Charges |
|----------|--------------------------------|------------------------------------|-------------|-------------|
| a) | Cash Dividend Collection | KRW 9,000 per stock or equivalent | N/A | N/A |
| b) | Bonus Shares/ Units Collection | KRW 18,000 per stock or equivalent | | |
| c) | Other Corporate Action* | KRW 18,000 per stock or equivalent | | |
| d) | Dividend Tax | 15% | | |

Singapore Shares

1. General Charges

| Services | | Charges | Min Charges | Max Charges | |
|----------|--|---|---|--------------------------------|-----|
| a) | Brokerage Commission | Order by phone | 0.25% on gross consideration (Trade Amalgamation is not applied) | SGD40 / USD30 or equivalent | N/A |
| | | Online trade | 0.16% on gross consideration (Trade Amalgamation is not applied) | SGD22 / USD19 or equivalent | N/A |
| b) | Clearing Fee | 0.0325% on gross consideration | | | |
| c) | Trading Fee | 0.0075% on gross consideration | | | |
| d) | On-Exchange Transactions – Custodian Settlement Fee | SGD 0.35 / USD0.26 per Settlement Instruction | | | |
| e) | Custody Fee | Free | | | |

2. Nominee Services and Corporate Actions

| Services | | Charges | Min Charges | Max Charges |
|----------|--------------------------------|---|--------------------------------|-------------|
| a) | Cash Dividend Collection | 2% on dividend received (after deduction of withholding tax) | SGD14 / USD11 or equivalent | N/A |
| b) | Bonus Shares/ Units Collection | SGD30 / USD22 per stock or equivalent | | |
| c) | Other Corporate Action* | SGD30 / USD22 per stock or equivalent | | |
| d) | Dividend Tax | 0-15% | | |

Taiwan Shares

1. General Charges

| Services | | Charges | Min Charges | Max Charges | |
|----------|----------------------|----------------|---|-------------|-----|
| a) | Brokerage Commission | Order by phone | 0.25% on gross consideration (Trade Amalgamation is not applied) | TWD800 | N/A |
| | | Online trade | 0.20% on gross consideration (Trade Amalgamation is not applied) | TWD500 | N/A |

| | | |
|----|--|--|
| b) | Sales Tax (Only limit to sell orders) | 0.30% of Transaction amount for ordinary shares 0.10% of Transaction amount for Exchange Traded Fund listing at Taiwan Exchange (ETF) 0.10% of Transaction amount for Taiwan Depository Receipts (TDR) |
| c) | Trading Fee (Custodian Bank) | TWD250 (To be charged per side per stock code per settlement currency per order channel each trading day) |
| d) | Custody Fee | Collection for payment (Portfolio amount x 0.12% / 12 , Min charges HKD55per month, payable in next calendar month) |

2. Nominee Services and Corporate Actions

| Services | | Charges | Min Charges | Max Charges |
|----------|--------------------------------|--------------------------------|-------------|-------------|
| a) | Cash Dividend Collection | TWD300 per stock or equivalent | N/A | N/A |
| b) | Bonus Shares/ Units Collection | TWD600 per stock or equivalent | | |
| c) | Other Corporate Action* | TWD600 per stock or equivalent | | |
| d) | Dividend Tax | 21% | | |

Thailand Shares

1. General Charges

| Services | | Charges | Min Charges | Max Charges | |
|----------|-------------------------------------|-----------------------------------|---|-------------|-----|
| a) | Brokerage Commission | Order by phone | 0.35% on gross consideration (Trade Amalgamation is not applied) | THB800 | N/A |
| | | Online trade | 0.30% on gross consideration (Trade Amalgamation is not applied) | THB500 | N/A |
| b) | VAT Fee & Other Transaction Fees | 7.0% of Brokerage amount as above | | | |
| c) | Custody Fee | Free | | | |

2. Nominee Services and Corporate Actions

| Services | | Charges | Min Charges | Max Charges |
|----------|--------------------------------|--------------------------------|-------------|-------------|
| a) | Cash Dividend Collection | THB300 per stock or equivalent | N/A | N/A |
| b) | Bonus Shares/ Units Collection | THB660 per stock or equivalent | | |
| c) | Other Corporate Action* | THB660 per stock or equivalent | | |
| d) | Dividend Tax | 10% | | |

Important Notes:

- 1) Dividend Tax: Subject to change by relevant country tax policy
- 2) Charges apply on the amount after dividend tax
- 3) For overseas dividends, there will be a processing time of not less than 14 days.
- 4) * Plus fees collected by other involved broker(s) where applicable.

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Funds, Bonds , Certificate of Deposit and Structure Products Charges

Funds

General charges

| Services | Charges (USD/ HKD/ CNY) | Min Charges | Max Charges |
|---------------------|--|---|-------------|
| a) Subscription Fee | Disclosed in the Fund Fact Sheet, Key Fact Statement, Prospectus / Explanatory Memorandum of corresponding fund(s) | N/A | 2% |
| b) Redemption Fee | | N/A - Individual Fund Houses may impose redemption fee, calculated based on the year of subscription (Min. HKD 250 for each fund, if payment made via USD Telegraph Transfer) | N/A |
| c) Switching Fee | | N/A | 1% |
| d) Management Fee | | Individual Fund Houses may impose management fee | N/A |
| e) Withdrawal | | HKD500 per transaction | |

Bonds

General charges

| Services | Charges (USD/ HKD/ CNY) | Min Charges | Max Charges |
|-------------------------------------|---|----------------------|-----------------|
| a) Transaction Fee | Face value | N/A | 200 basis point |
| b) Safe Custody | 0.10% p.a. Custodian Rate (The Custody Fee shall be calculated by the Notional value times the custodian rate on a daily basis and be collected monthly) | | |
| c) Bonds transfer in | HKD400 per transaction | | |
| d) Bonds transfer out | HKD500 per transaction | | |
| e) Coupon Interest handling charges | 0.50% of coupon amount + related custodian charges | USD 10 or equivalent | N/A |
| f) Redemption / Early redemption | USD 30 per transaction or equivalent | | |

Certificate of Deposit

General charges

| Services | Charges (USD/ HKD/ CNY) | Min Charges | Max Charges |
|---------------------|---|-------------|----------------|
| a) Subscription Fee | | N/A | 50 basis point |
| b) Safe Custody | 0.10% p.a. Custodian Rate (The Custody Fee shall be calculated by the Notional value times the custodian rate on a daily basis and be collected monthly) | | |

Structure Products

General charges

| Services | | Charges (USD/ HKD/ CNY) | Min Charges | Max Charges |
|----------|------------------------------------|--|----------------------------|-------------|
| a) | Subscription Fee | | N/A | 3% |
| b) | Safe Custody | 0.06% p.a. rate based on the Month-End structured products portfolio value (charge on monthly basis) | HKD100 / CNY100 / USD10 | N/A |
| c) | Settlement Instruction Fee (SI) | USD 100 per transaction | USD 100 | N/A |

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Stock option charges

1. Account Services

| Services | | Charges (HKD) | Min Charges | Max Charges |
|----------|----------------------|---|-------------|-------------|
| a) | Brokerage Commission | 1.00 % of Contract Value (Trade Amalgamation is not applied) | HKD 100 | N/A |
| b) | Trading Fee | Tier 1: HKD 3 per contract Tier 2: HKD 1 per contract Tier 3: HKD 0.50 per contract | | |

2. Stock Option Exercise Fee

| Services | | Charges (HKD) | Min Charges | Max Charges |
|----------|----------------------|---|-------------|-------------|
| a) | Brokerage Commission | 0.25% on gross consideration | HKD 100 | N/A |
| b) | SFC Transaction Levy | 0.0027% on gross consideration | | |
| c) | HKEX Trading fee | 0.005% on gross consideration | | |
| d) | HK Stamp Duty | 0.13% on gross consideration (rounded to the nearest dollar) | HKD 1 | N/A |
| e) | CCASS Fee | 0.005% on gross consideration | HKD 5 | HKD 1,000 |
| f) | Exercise Fee | HKD 2 per contract | | |

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Hong Kong and Global Futures, Options Charges

| Type | Product Name | Currency | Trading Fee | SFC Transaction Levy | Commission | | | | Remark |
|----------------------|------------------------------------|----------|-------------|----------------------|---------------------------|--------------------|----------|-------|-----------------------|
| | | | | | Phone Order | | Internet | | |
| | | | | | Day | Night /Auto Settle | Day | Night | |
| Index futures (HKEX) | Hang Seng Index Futures (HSI) | HKD | 10 | 0.54 | 60 | 100 | 40 | 60 | Per contract per side |
| | Mini Hang Seng Index Futures (MHI) | HKD | 3.50 | 0.10 | 30 | 50 | 20 | 30 | |
| | H-shares Index Futures (HHI) | HKD | 3.50 | 0.54 | 60 | 100 | 40 | 60 | |
| | Mini H-shares Index Futures (MCH) | HKD | 2 | 0.10 | 40 | 80 | 20 | 40 | |
| | USD/ CNH Future (CUS) | CNY | 8 | - | 60 | 100 | 40 | 60 | |
| | HSI Volatility Index (VHSI) | HKD | 10 | 0.54 | 60 | 100 | 40 | 60 | |
| | Hang Seng TECH Index Futures (HTI) | HKD | 5 | 0.54 | 60 | 100 | 40 | 60 | |
| Stock Future (HKEX) | Tier 1 | HKD | 3 | 0.10 | 30 | 50 | 30 | 50 | Per contract per side |
| | Tier 2 | HKD | 1 | 0.10 | 30 | 50 | 30 | 50 | |
| | Tier 3 | HKD | 0.50 | 0.10 | 30 | 50 | 30 | 50 | |
| Global Future | Foreign Future Products | USD | - | - | 25 | 25 | 17 | 17 | Per contract per side |
| Type | Product Name | Currency | Trading Fee | SFC Transaction Levy | Phone Order / Auto Settle | | Internet | | Remark |
| | | | | | Min | Max | Min | Max | |
| Index options (HKEX) | Hang Seng Index Options | HKD | 10 | 0.54 | 50 | 100 | 40 | 60 | 1% of contract value |
| | Mini Hang Seng Index Options | HKD | 2 | 0.10 | 30 | 50 | 20 | 30 | |
| | H-shares Index Options | HKD | 3.50 | 0.54 | 50 | 100 | 40 | 60 | |
| | Mini H-shares Index Options | HKD | 1 | 0.54 | 30 | 50 | 20 | 30 | |
| | Hang Seng TECH Index Options | HKD | 5 | 0.54 | 50 | 100 | 40 | 60 | |
| Global Future Option | Foreign Option Products | USD | - | - | 25 | 25 | 17 | 17 | Per contract per side |

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Other Services

1. Account Services

| Services | Charges (HKD) |
|---|---|
| a) Stock balance or account confirmation fee | HKD 200 per confirmation |
| b) Stock segregated account service fee (with CCASS statement) | HKD 30 per month |
| c) Statement | By Post: HKD 300 per year (Will be charged on every year end, less than a year is counted as one) By Email: Free |
| d) Copies of Account Statement | Within 3 months – Waived For past 3 to 12 months - HKD50 per statement For past 1 to 3 years - HKD100 per statement For past 3 to 7 years - HKD500 per statement |
| e) Banking service charges: - CHATS - Telegraphic Transfer - Returned cheque - Stop payment | HKD150 + correspondent bank's charges HKD200 + correspondent bank's charges HKD200 per instruction HKD200 per instruction |
| f) ETNET Real-time Streaming HK Stock Quotes | HK\$370 (per account per month) |

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