

Application Form For Electronic Trading Services

电子交易申请书

1. Customer Particulars 客户资料

Full Name 客户全名	Account Number 账户号码

2. Instruction Details 指示详情

Electronic Trading Services 电子交易服务		
☐ Securities Account 证券账户 ☐ Stock Options Account 股票期权账户 ☐ Futures Account 期货账户		
☐ Subscribe 申请	☐ Cancel 取消	☐ With immediate effect 实时生效 ☐ Effective 请由 _____

If the Customer receive the trading password from the email, please reset your new password immediately.
如客户以电邮方式接收取交易密码，请尽快重设新密码。

Important Notes and Risk Disclosure Statement 重要事项及风险声明:

- The Customer is legally responsible for the access to the trading account. All instructions given from any Electronic Device (e.g. mobile phones, desktop computers etc.) for the account are held the Customer accountable, regardless whether such instructions are originated by the Customer or not. 客户对登入客户的户口负有法律责任。从任何电子设备(例如流动电话、桌上计算机等等)为客户的户口发出的所有指示均由客户负责；无论该等指令是否由客户发出。
- Securities trading via Electronic Devices is subject to various system risks because the breakdown of either software or hardware or any temporary disruption of data transmission may occur from time to time. The failure of any systems may lead to the trade instructions given via Electronic Devices being non-executed or partially executed. 通过电子设备进行的证券交易面临各种系统风险因为系统软件或硬件的故障或数据传输的任何暂时中断可能不时发生。任何系统的故障都可能导致通过电子设备发出的交易指示无法执行或部分执行。
- The Customer therefore is advised to deploy effective cybersecurity controls (e.g. password protection) and timely review the confirmation of the executed trades. 建议客户因此安排有效的网络安全保安措施（例如保护密码）并及时查看已执行交易的确认书。
- Delta Asia Securities Limited shall not be responsible for any losses incurred by the Customers due to any system failure of Electronic Trading Services. 汇业证券有限公司对客户因电子交易服务的任何系统故障而遭受的任何损失概不负责。

S.V.

Client Signature 客户签署

Date 日期

For Internal Use Only 内部专用			
AE/RM/CS Name 姓名 _____ Date 日期 _____	Approver 批核者 Name 姓名 _____ Date 日期 _____	Maker 处理者 Name 姓名 _____ Date 日期 _____	Checker 审核者 Name 姓名 _____ Date 日期 _____